STATE OF NEW YORK

6562

2023-2024 Regular Sessions

IN SENATE

April 26, 2023

Introduced by Sen. CHU -- read twice and ordered printed, and when printed to be committed to the Committee on Investigations and Government Operations

AN ACT to establish the taxpayer access to publicly funded research act

The People of the State of New York, represented in Senate and Assembly, do enact as follows:

Section 1. Short title. This act shall be known and may be cited as the "taxpayer access to publicly funded research act".

3 § 2. (a) As used in this act, the terms:

4 (i) "Agency" shall mean any executive branch agency, including, but 5 not limited to any agency, commission or authority;

6 (ii) "Direct research" shall mean research resulting directly from 7 proscribed grants from state agency funding for the purpose of specific 8 research undertakings; and

9 (iii) "Policy" shall mean the public access policy established pursu-10 ant to subdivision (b) of this section.

(b) Each agency that provides funding for direct research shall develop a public access policy that shall:

(i) Include a requirement that electronic versions of the author's final manuscripts of original research papers that have been accepted for publication in peer-reviewed journals and result from research supported from funding by the state of New York, be submitted to such funding agency;

18 (ii) Provide free online public access to such final peer-reviewed 19 manuscripts or published versions as soon as practicable but not later 20 than twelve months after publication in peer-reviewed journals;

(iii) Produce an online bibliography of all research papers that are publicly accessible under the policy, with each entry linked to the corresponding free online full text;

24 (iv) Provide free access to the published research findings; and

EXPLANATION--Matter in <u>italics</u> (underscored) is new; matter in brackets [-] is old law to be omitted.

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(v) Be developed in conjunction with any other agencies that provide 1 funding for direct research or that underwrite the cost of facilities, 2 3 equipment, hardware, information resources, personnel or otherwise 4 fiscally support direct research. 5 (c) Such policy shall exclude: 6 (i) Research progress reports presented at professional meetings or 7 conferences; 8 (ii) Laboratory notes, preliminary data analyses, notes of the author, 9 phone logs or other information used to produce the final manuscript; 10 (iii) Classified research, research resulting in works that generate 11 revenue or royalties for the authors or patentable discoveries, to the 12 extent necessary to comply with copyright or patent protections; and (iv) Authors who do not submit their work to a peer-reviewed journal 13 14 or works that are rejected for publication in such journals. 15 (d)(i) Not later than December first of each year, the head of each agency shall submit a report on such agency's policy to the governor, 16 17 the speaker of the assembly and the temporary president of the senate. (ii) Such report shall include, but not be limited to: 18 (A) A statement of the effectiveness of the policy in providing the 19 20 public with free online access to papers on research funded by such 21 agency; 22 (B) A list of papers published in peer-reviewed journals that report 23 on research funded by such agency; and (C) A corresponding list of papers made available by the agency as a 24 25 result of this act. § 3. This act shall take effect one year after it shall have become a 26 27 law. Effective immediately, the addition, amendment and/or repeal of any 28 rule or regulation necessary for the implementation of this act on its effective date are authorized to be made and completed on or before such 29

30 effective date.